

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Oliver, et al.

Serial No.:

10/815, 512

Filed:

April 1, 2004

For:

Use of Nodes to Monitor or Manage Peer to Peer Networks

Art Unit:

2661

Examiner:

Not Yet Assigned

Confirmation No.:

1300

Customer No.:

27623

Attorney Docket No.:

300203615-2

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed April 1, 2004. This Information Disclosure Statement is being filed:

paten	tability of this application, filed April 1, 2004. This Information Disclosure
State	ment is being filed:
	Within three (3) months of the filing date of the national application;
	Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
<u>xxx</u>	Before the mailing date of a first Office Action on the merits;
	After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);

 After the filing date of date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
 After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p); and
 After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to or subsequent to the payment of the Issue Fee and provided that this I.D.S. is accompanied by a certification as specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p).
 Filng with RCE Under 37 CFR 1.114, thus no fee is required.

37 C.F.R. 1.98 does not require a copy of U.S. patents or published U.S. patent applications to be included with an information disclosure statement. Accordingly, Applicant is not including a copy of any U.S. patent or published U.S. patent application.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,

October 13, 2006

Paul D. Greeley Reg. No. 31,019

Ohlandt, Greeley, Ruggiero & Perle, L.L.P. One Landmark Square, 10th Floor

Stamford, CT 06901-2682

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT TRANSMITTAL FORM

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Mail Stop Amendment **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

, Dear Sir:

We are enclosing:

- 1. Information Disclosure Statement with copies of references;
- 2. PTO Form 1449:
- Transmittal letter in duplicate; and 3.
- 4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: October 13, 2006

Paul D. Greeley

Reg. No. 30,109

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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON October 13, 2006.

Hector Lopez

October 13, 2006

NAME

SIGNATURE

DATE

. . . . **CUSTOMER NO.: 27623** Sheet _1_ of _1_. FORM PTO-1449 Docket Number (Optional) **Application Number** INFORMATION DISCLOSURE CITATION IN AN APPLICATION 300203615-2 10/815,512 Applicant Oliver, et al. OCT 1 6 2006 Filing Date Group Art Unit Use several sheets if necessary) April 1, 2004 2661 & TRADEMARY U. S. PATENT DOCUMENTS **EXAMINER FILING DATE IF** INITIAL **DOCUMENT** DATE NAME **CLASS SUBCLASS APPROPRIATE** NUMBER FOREIGN PATENT DOCUMENTS Translation DATE **DOCUMENT NUMBER** COUNTRY **SUBCLASS CLASS** YES NO WO 2004/010346 1/29/04 **PCT** Χ WO 03/036444 5/1/03 **PCT** Χ WO 01/63520 **PCT** 8/30/01 Χ **PCT** WO 96/07257 3/7/96 Χ EP 1 282 289 2/5/03 Europe Χ EP 1 298 854 4/2/03 Europe Χ GB 2 380 830 **Great Britain** 4/16/03 Χ OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to the applicant.